

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 15609-016001	Application No. 10/631,324
<b>Information Disclosure Statement</b> <b>by Applicant</b> <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small>		<b>Applicant</b> <b>Frank Michael Kraft</b> <b>Filing Date</b> <b>August 1, 2003</b> <b>Group Art Unit</b> <b>2171</b>			

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	6,212,653	4/2001	Boivin et al.	714	45	
	AB	6,952,660	10/2005	Matheson	703	1	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes      No
	AL						
	AM						
	AN						
	AO						
	AP						

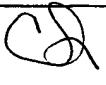
<b>Other Documents (Include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

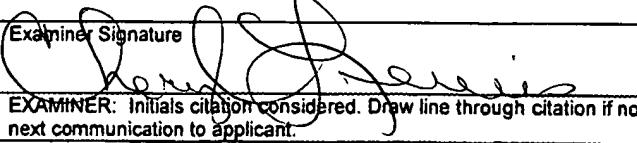
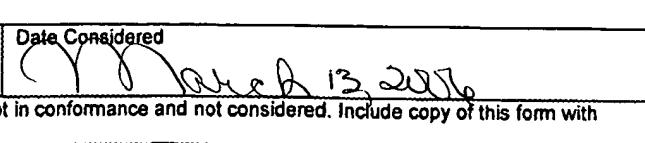
Examiner Signature	Date Considered
<span style="font-family: cursive; font-size: 2em; vertical-align: middle;">March 2, 2005</span>	
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

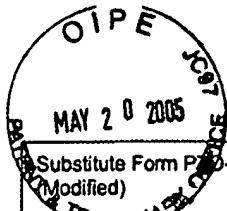
Substitute Form PTO-1449 (Modified) JUL 16 2005 Information Disclosure Statement by Applicant (use several sheets if necessary) 37 CFR §1.98(b)(4)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 15609-016001		Application No. 10/631,324	
				Applicant Frank Michael Kraft			
				Filing Date August 1, 2003	Group Art Unit 2171		

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>							
Examiner Initial	Desig. ID	Document					
	AA	Bosik, B.S., et al., "Finite State Machine Based Formal Methods in Protocol Conformance Testing: From Theory to Implementation," <u>Computer Networks and ISDN Systems</u> , North Holland Publishing, Amsterdam, NL, vol. 22, no. 1, 1 August 1991, pp. 7-33, XP00224436					

Examiner Signature 	Date Considered 
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 15609-016001	Application No. 10/631,324
<b>Information Disclosure Statement</b> by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Frank Michael Kraft	
		Filing Date August 1, 2003	Group Art Unit 2171

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
CD	AA	5842216	11/24/1998	Anderson et al.	709	252	
	AB	5864837	01/26/1999	Maimone et al.	709	1	
	AC	5974129	10/1999	Bodnar	319	220-01	
	AD	6415315	07/2002	Glass	709	201	
	AE	6442586	08/2002	Glass	709	202	
	AF	6564218	05/2003	Roth	709	10	
	AG	6631386	10/2003	Arun, et al.	709	203	
	AH	6738797	05/2004	Martin	709	201	
	AI	6754657	06/2004	Lomet	709	8	
↓	AJ	6761636	07/2004	Chung, et al.	463	42	

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
CD	AK	EP 0 926 608 A	06/30/1999	EPO			

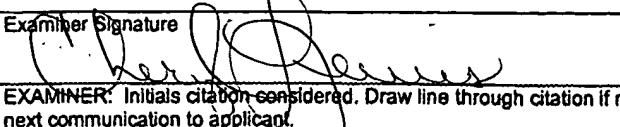
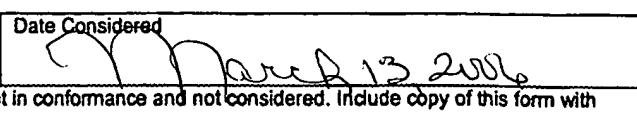
Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
CD	AL	"Application Link Enabling," <a href="http://searchsap.techtarget.com/sDefinition/0,,sid21_gci822148_00.html">http://searchsap.techtarget.com/sDefinition/0,,sid21_gci822148_00.html</a> , May 7, 2002, printed from the Internet December 10, 2003, 2 pgs.					
	AM	'Half.com: Apple iPod Mini – Questions & Answers' [online]. half.com by ebay, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://half.ebay.com/cat/buy/ask_question.cgi?offer_id=1286774759&amp;meta_id=6&amp;domain_id=447">http://half.ebay.com/cat/buy/ask_question.cgi?offer_id=1286774759&amp;meta_id=6&amp;domain_id=447</a> >					
	AN	'Half.com: Apple iPod Mini – Seller's Description' [online]. half.com by ebay, undated materials, [retrieved on 2005-03-16]. Retrieved from the Internet: <URL: <a href="http://half.ebay.com/cat/buy/inv.cgi?offer_id=1286774759&amp;meta_id=6&amp;cpid=1202509734&amp;domain_id=447">http://half.ebay.com/cat/buy/inv.cgi?offer_id=1286774759&amp;meta_id=6&amp;cpid=1202509734&amp;domain_id=447</a> >.					
	AO	'The Next Evolution of E-Commerce – Tango Trade Press Release' [online]. Undated materials, [retrieved initially, in part, on 2005-03-07, then again in its entirety on 2005-04-28]. Retrieved from the Internet: <URL: <a href="http://www.p2p-zone.com/underground/showthread.php?s=&amp;threadid=16211">http://www.p2p-zone.com/underground/showthread.php?s=&amp;threadid=16211</a> >. See, e.g., pp. 10-11.					

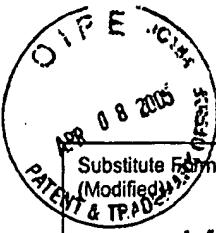
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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 15609-016001	Application No. 10/631,324
<b>Information Disclosure Statement</b> by Applicant <i>MAY 20 2005</i> (37 CFR §1.98)		Applicant Frank Michael Kraft	
		Filing Date August 1, 2003	Group Art Unit 2171

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AP	'P2P Real Time Trading Community from Tango' [online]. Undated materials, [retrieved initially, in part, on 2005-03-07; then again in its entirety on 2005-04-28]. Retrieved from the Internet: <URL: <a href="http://www.collaborate.com/publication/newsletter/nl0603.html#portal">http://www.collaborate.com/publication/newsletter/nl0603.html#portal</a> >. See, e.g., pp. 24-25.
	AQ	Robinson, Scott, "Save Time and Effort with SAP's ALE Change Pointers," <a href="http://techrepublic.com.com/5102-6329-1048973.html">http://techrepublic.com.com/5102-6329-1048973.html</a> , August 12, 2002, copyright 1995-2003 CNET Networks, Inc., printed from the Internet September 4, 2003, 3 pgs.
	AR	'TangoTrade.net - About' [online]. Tango, undated materials, [retrieved on 2005-03-16]. Retrieved from the Internet: <URL: <a href="http://www.tangotrade.net/about.html">http://www.tangotrade.net/about.html</a> >.
	AS	'Tango Trade 2.0: End-User Market for: EBAY P/N 370-2304' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://rook.tangotrade.net/live/bidask.php?Item=370-2304&amp;ManuName=EBAY">http://rook.tangotrade.net/live/bidask.php?Item=370-2304&amp;ManuName=EBAY</a> >.
	AT	'Tango Trade 2.0: Sell an Item' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://dns-1.wtic.net/sell.php?&amp;PHPSESSION=9182eb870619298ff76e18a31b0a7635">http://dns-1.wtic.net/sell.php?&amp;PHPSESSION=9182eb870619298ff76e18a31b0a7635</a> >.
	AU	'Welcome to TangoTrade.net' [online]. Tango, undated materials, [retrieved on 2005-03-07]. Retrieved from the Internet: <URL: <a href="http://www.tangotrade.net/index.html">http://www.tangotrade.net/index.html</a> >.

Examiner Signature 	Date Considered 
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Sheet 1 of 1

Substitute Form PTO-1449  
(Modified 1-2001)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney's Docket No.  
15609-016001

Application No.  
10/631,324

**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant  
Frank Michael Kraft

Filing Date  
August 1, 2003

Group Art Unit  
2171

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	US-5842216	11/24/1998	Anderson et al.	1107	203	
	AB	US-5864837	01/26/1999	Maimone et al.	1107	1	

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AC	EP 0 926 608 A	06/30/1999	EPO				

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document

Examiner Signature

Date Considered

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Substitute Form PTO-1449 <i>(Modified)</i> Information Disclosure Statement by Applicant <i>AUG 26 2004</i> (Use several sheets if necessary) <i>(37 CFR §1.87(b))</i>	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 15609-016001	Application No. 10/631,324
		Applicant Frank Michael Kraft	
		Filing Date August 1, 2003	Group Art Unit 2171

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	US-4694422 A	09/15/1987	Kakuda et al.	714	49	
	AB	US-4777595 A	10/11/1988	Strecker et al.	109	234	
	AC	US-5655075 A	08/05/1997	Saito et al.	714	48	
↓	AD	US-6529932 B1	03/04/2003	Dadiomov et al.	718	101	

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	AE	EP 0 684 720 A	11/29/1995	EPO			

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	AF	Haase, Kim, "Java Message Service API Tutorial," Sun Developer Network Site, August 2001, retrieved from the Internet on January 7, 2004, at <a href="http://java.sun.com/products/jms/docs.htm">http://java.sun.com/products/jms/docs.htm</a> , XP002266306, 270 pgs.
	AG	Johnson, David B, et al., "Sender-Based Message Logging," 7 <sup>th</sup> Symposium on Fault-Tolerant Computing, 1987, retrieved from the Internet on January 8, 2004, at <a href="http://citeseer.nj.necessary.com/johnson87senderbased.html">http://citeseer.nj.necessary.com/johnson87senderbased.html</a> , XP002266307, 6 pgs.
	AH	Kakuda, Yoshiaki, et al., "Automated Verification of Responsive Protocols Modeled by Extended Finite State Machines," Real-Time Systems, Kluwer Academic Publishers, Dordrecht, NL, vol. 7, no. 3, November 1, 1994, XP 000485229, pp. 275-289
↓	AI	Yuang, Maria C., "Survey of Protocol Verification Techniques Based on Finite State Machine Models," April 11, 1988, Proceedings of the IEEE NBS Computer Networking Symposium, Washington, DC, XP010011847, pp. 164-172

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<b>Information Disclosure Statement</b> <b>by Applicant</b> <small>(Use several sheets if necessary)</small> <small>(37 CFR §1.98(b))</small>		<b>Applicant</b> <b>Frank Michael Kraft</b> <b>Filing Date</b> <b>August 1, 2003</b>		
				<b>Group Art Unit</b> <b>2171</b>

<b>U.S. Patent Documents</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						

<b>Foreign Patent Documents or Published Foreign Patent Applications</b>							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes      No
	AC						
	AD						

<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>		
Examiner Initial	Desig. ID	Document
	AE	"Analysis of Petri Net Performance Model," <a href="http://www.document.ic.ac.uk/~nd/surprise_95/journal/vol2/nr1/article2.html">http://www.document.ic.ac.uk/~nd/surprise_95/journal/vol2/nr1/article2.html</a> , printed from the Internet September 5, 2003, 2 pgs.
	AF	Brink, Richard Scott, "A Petri Net Design, Simulation, and Verification Tool," Rochester Institute of Technology, September 1996, <a href="http://www.csh.rit.edu/~rick/thesis/document/PetriThesis.html">http://www.csh.rit.edu/~rick/thesis/document/PetriThesis.html</a> , printed from the Internet September 5, 2003, 47 pgs.
	AG	Carryer, J. Edward, "State Machines," February 2, 2001, <a href="http://design.stanford.edu/spdl/ee118/pdf_files/StateMachines.pdf">http://design.stanford.edu/spdl/ee118/pdf_files/StateMachines.pdf</a> , printed from the Internet September 5, 2003, 3 pgs.
	AH	"DotnetCoders – UML: Statechart Diagrams," copyright 2000-2003 .netCoders, <a href="http://www.dotnetcoders.com/web/learning/uml/diagrams/statechart.aspx">http://www.dotnetcoders.com/web/learning/uml/diagrams/statechart.aspx</a> , printed from the Internet December 10, 2003, 2 pgs.
	AI	"Finite State Machine – A WhatIs Definition," <a href="http://whatis.techtarget.com/definition/0,,sid9_gci213052,00.html">http://whatis.techtarget.com/definition/0,,sid9_gci213052,00.html</a> , printed from the Internet December 10, 2003, 4 pgs.
	AJ	"StateMachines," copyright 1996-2002 BoldSoft, copyright 2002 Borland, <a href="http://information.borland.com/techpubs/delphi/boldfordelphi/html/Mech_StateMachines.htm">http://information.borland.com/techpubs/delphi/boldfordelphi/html/Mech_StateMachines.htm</a> , printed from the Internet September 5, 2003, 1 pg.
W	AK	"UML Tutorial - State Diagrams," Kennesaw State University, <a href="http://pigseye.kennesaw.edu/~dbraun/csis/4650/A&amp;D/UML_tutorial/state.htm">http://pigseye.kennesaw.edu/~dbraun/csis/4650/A&amp;D/UML_tutorial/state.htm</a> , printed from the Internet December 10, 2003, 4 pgs.

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